

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**Under 37 CFR 1.97(b) or 1.97(c))**

*IHCW*  
Docket No.  
17080

In Re Application Of  
KAORU UCHIDA

Kaoru Uchida

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/673,621	Sept. 29, 2003	Unassigned	23389	2131	2550

**Title: FINGERPRINT AUTHENTICATION METHOD, PROGRAM AND DEVICE CAPABLE OF  
JUDGING INEXPENSIVELY WHETHER INPUT IMAGE IS PROPER OR NOT**

Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1.  The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

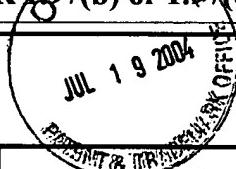
2.  The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
  - the statement specified in 37 CFR 1.97(e);

**OR**
  - the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

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**FINGERPRINT AUTHENTICATION METHOD, PROGRAM AND DEVICE CAPABLE OF  
JUDGING INEXPENSIVELY WHETHER INPUT IMAGE IS PROPER OR NOT**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- A check in the amount of \_\_\_\_\_ is attached.  
 The Director is hereby authorized to charge and credit Deposit Account **19-1013/SSMP** as described below.  
 Charge the amount of \_\_\_\_\_  
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I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_).

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I certify that this document and fee is being deposited on **July 16, 2004** th the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

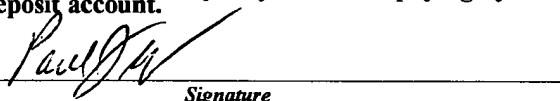


*Paul J. Esatto, Jr.*  
Signature of Person Mailing Correspondence

Paul J. Esatto, Jr.

\_\_\_\_\_  
Typed or Printed Name of Person Mailing Certificate

\*This certificate may only be used if paying by deposit account.

  
Signature

Paul J. Esatto, Reg. No. 30,749

Dated: **July 16, 2004**

PJE:ahs

CC:



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant:** Kaoru Uchida      **Examiner:** Unassigned  
**Serial No.:** 10/673,621      **Art Unit:** 2131  
**Filed:** Sept. 29, 2003      **Docket:** 17080  
**For:** FINGERPRINT AUTHENTICATION METHOD,  
PROGRAM AND DEVICE CAPABLE OF  
JUDGING INEXPENSIVELY WHETHER INPUT  
IMAGE IS PROPER OR NOT      **Dated:** July 16, 2004

**Confirmation No.:** 2550

Mailstop Amendment  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

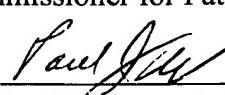
In accordance with 37 C.F.R §§1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. German Laid Open Patent Publication No. DE 19929671 A1, dated January 11, 2001;
2. International Publication No. WO 01/55966 A1, published August 2, 2001;

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on July 16, 2004.  
Dated: July 16, 2004

  
Paul J. Esatto, Jr.

3. United States Patent No. 6,301,376, dated October 9, 2001 to Draganoff;
4. German Laid Open Patent Publication No. DE 4220971 A1, dated January 7, 1993; and
5. European Patent Application No. 0736836 A2, dated October 9, 1996.

The references were cited in a Search Report dated April 26, 2004 received from the European Patent Office. Applicant is submitting copies of the above-cited references, together with a copy of the Search Report. The relevance of the above-identified references has been described in the Search Report.

In accordance with the waiver of 37 C.F.R. § 1.98 (a)(2)(i) in effect as of June 30, 2003, applicant is not required to submit copies of the above-cited U.S. Patent reference.

Further, the undersigned hereby states that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Respectfully submitted,



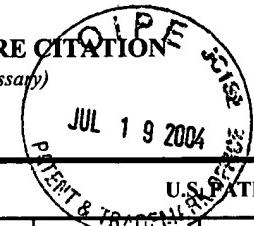
Paul J. Esatto, Jr.  
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(516) 742-4343

PJE:ae

**INFORMATION DISCLOSURE CITATION**

(Use several sheets if necessary)



Docket Number (Optional)

17080

Application Number

10/673,621

Applicant(s)

Kaoru Uchida

Filing Date

Sept. 29, 2003

Group Art Unit

2131

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		6,301,376	10/9/01	Draganoff			

**U.S. PATENT APPLICATION PUBLICATIONS**

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

**FOREIGN PATENT DOCUMENTS**

REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
	DE 19929671 A1	1/11/01	Germany				
	WO 01/55966 A1	8/2/01	PCT				
	DE 4220971 A1	1/7/93	Germany				
	EP 0736 836 A2	10/9/96	EPO				

**OTHER DOCUMENTS**

(Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.